TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))

Docket No. 09469.010001

In Re Application Of: Chui-Shan Teresa LAM et al.

Serial No.

Filing Date

Examiner

Group Art Unit

10/037,153 Decer

December 21, 2001

2161

Title: METHOD AND SYSTEM FOR INITIALIZING A KEY MANAGEMENT SYSTEM



Address to: stant Commissioner

Assistant Commissioner for Patents Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

- 2. The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
 - 1. a Final Action under 37 CFR 1.113, or
 - a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 09469.010001 In Re Application Of: Chui-Shan Teresa LAM et al. Serial No. **Group Art Unit** Filing Date Examiner 10/037,153 **December 21, 2001** 2161 Title: ND SYSTEM FOR INITIALIZING A KEY MANAGEMENT SYSTEM Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and fee is being deposited certify that this document and authorization to charge on MML 13, 2002 with the U.S. Postal Service as deposit account is being facsimile transmitted to the United first class mail under 37 C.F.R. 1.8 and is addressed to the States Patent and Trademark Office (Fax. No. Assistant Commissioner for Patents, Washington, D.C. 20231. (Date) Signature Signature of Person Mailing Correspondence Lisa H. Smith Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Correspondence *This certificate may only be used if paying by deposit account. no 39/82 ated: 3/13/02 Jonathan P. Osha, Reg. No. 33,986 ROSENTHAL & OSHA L.L.P. 1221 McKinney Ave., Suite 2800 Houst n, Texas 77010

CC:

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